NOV 0 5 2002

2123#5 11-18-02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in the Application of: GURUPRASAD G. RAO ET AL.

Filed:

JULY 13, 2001

For:

APPARATUS AND METHODS FOR CONSTRAINT

CHARACTERIZATION WITH DEGRADATION OPTIONS

Serial No.:

09/904,630

RECEIVED

Group Art Unit:

2123

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Examiner:

UNKNOWN

**Technology Center 2100** 

Atty Dkt:

SIME:002

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in a first class, postage prepaid envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

11-1-02

Name

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, it is respectfully requested that this Information Disclosure Statement be entered and the document(s) listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby

authorized to deduct said fees from Deposit Account No. 10-1205/SIME:002.

A copy of the listed document(s) required by 37 C.F.R. § 1.98(a)(2) is enclosed for the

convenience of the Examiner.

Applicant respectfully requests that the listed document(s) be made of record in the present

case.

Respectfully submitted,

Maximilian R. Peterson

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**Enclosures** 

Form PTO-1449 (modified)  List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT			Atty. Docket No. SIME:002	Serial No. 09/904,630	0
			Applicant GURUPRASAD RAO	RECEIVED	
ilav o 5 2002 🖁	(Use several sheets if necess		Filing Date: JULY 13, 2001	Group: 2123	NOV 1-3-2002 Technology Center 2100
RADEMARKORD	U.S. Patent Documents Foreign See Page		Patent Documents See Page	Other See Pa	Art

## **U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A1	5,790,415	8/4/98	Pullela et al.			4/10/96

## **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						

## Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation			
	С9	Copending U.S. Patent Application Serial No. 09/904,463, filed July 13, 2001, "Apparatus For Optimized Constraint Characterization With Degradation Options And Associated Methods (Atty. Docket No. Sime:008)			

Examiner:

**Date Considered:**